

## Acceptable Forms of Identification Documents

In order to become a client of Everblu Capital, you will need to provide us with identification documents. All identification documents supplied must be a **valid original certified copy** and certified in accordance with the requirements set out on page 2 of this document.

Documents that are written in a language other than English must be accompanied by an English translation, prepared by an accredited translator.

### IDENTIFICATION OF INDIVIDUALS / COMPANY DIRECTORS / TRUSTEE

Please provide the following identification documents:

- **ONE** document from Section A: Primary Photographic Identification; **OR**
- **ONE** document from **SECTION B**: Primary NON Photographic Identification **AND ONE** document from **SECTION C**: Secondary Identification

#### SECTION A: PRIMARY PHOTOGRAPHIC IDENTIFICATION

- Current passport issued by the Commonwealth of Australia
- Current passport issued by a foreign government
- Valid Australian driver's licence that contains a photograph
- Valid foreign driver's licence issued by a government that contains a photograph
- Valid photo ID card issued by a State or Territory
- Valid national identity card that contains a photograph and is issued by a foreign government\*

#### SECTION B: PRIMARY NON-PHOTOGRAPHIC IDENTIFICATION

- Birth certification or birth extract issued by a State or Territory
- Birth certificate issued by a foreign government
- Citizenship certificate issued by the Commonwealth
- Citizenship certificate issued by a foreign government\*
- Centrelink pension card
- Health Care card issued by Centrelink

#### SECTION C: SECONDARY IDENTIFICATION

- Australian Taxation Office notice (less than 12 months old)
- Financial benefits notice issued by Commonwealth, State or Territory (less than 12 months old)
- Council rates invoice issued by a local government body (less than 3 months old)
- Utilities invoice issued by utilities provider (e.g. gas, electricity, water, phone) (less than 3 months old)

### IDENTIFICATION OF COMPANIES

#### AUSTRALIAN COMPANIES / CORPORATE TRUSTEES

Please provide the following documents:

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| <ul style="list-style-type: none"> <li>• A full company search of the ASIC database which shows:                             <ul style="list-style-type: none"> <li>○ The full name of the company</li> <li>○ The ABN/CAN</li> <li>○ Company type</li> <li>○ The registered office address</li> <li>○ The principal place of business</li> <li>○ The names of each director (for a proprietary company only)</li> <li>○ The name and date of birth of each beneficial owner (for non-listed companies)</li> </ul> </li> </ul> | <ul style="list-style-type: none"> <li>• If the company is a regulated company – a search of the licence or other records of the relevant Commonwealth, State or Territory regulator;</li> <li>• If the company is listed – a search of the relevant financial market</li> <li>• Identification for each <b>director</b> as per the requirements for Individuals above</li> <li>• Identification for each <b>beneficial owner</b> as per the requirements for Individuals or Companies (for 25% or more ownership only)</li> </ul> |
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#### FOREIGN COMPANIES / CORPORATE TRUSTEES

Please provide the following documents:

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| <ul style="list-style-type: none"> <li>• Company documents which show:                             <ul style="list-style-type: none"> <li>○ ARBN or foreign registration number</li> <li>○ The names of each director (for a proprietary company only)</li> <li>○ Registration of the company by a foreign registration body</li> <li>○ Whether the company is public or private</li> <li>○ The name and date of birth of each beneficial owner (for non-listed companies)</li> </ul> </li> </ul> | <ul style="list-style-type: none"> <li>• Identification for each <b>director</b> as per the requirements for Individuals above</li> <li>• Identification for each <b>beneficial owner</b> as per the requirements for Individuals or Companies (for 25% or more ownership only)</li> </ul> |
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IDENTIFICATION FOR TRUSTS AND SUPERANNUATION FUNDS	
SIMPLIFIED PROCEDURES FOR CERTAIN TRUSTS / SUPERANNUATION FUNDS	
<p>If you are a registered managed investment scheme, an unregistered managed investment scheme with wholesale clients only (which does not make small-scale offerings under section 1012E), a regulated trust (e.g. SMSF) or government superannuation fund, please provide:</p> <ul style="list-style-type: none"> <li>• The trust deed OR an extract of the trust deed which shows:               <ul style="list-style-type: none"> <li>○ The full name of the trust</li> <li>○ That the trust is a registered scheme, regulated trust or superannuation fund</li> <li>○ The type of trust</li> <li>○ The country in which the trust was established</li> </ul> </li> </ul> <p>The full business name of the trustee in respect of the trust</p>	
VERIFICATION FOR ALL OTHER TRUSTS / SUPERANNUATION FUNDS	
Please provide the following documents:	
<ul style="list-style-type: none"> <li>• The trust deed OR an extract of the trust deed which shows:               <ul style="list-style-type: none"> <li>○ The full name of the trust</li> <li>○ The type of trust</li> <li>○ The country in which the trust was established</li> <li>○ The full business name of the trustee in respect of the trust</li> <li>○ The full name of all beneficial owners or trust membership class</li> <li>○ The name of the Settlor of the trust</li> <li>○ The name of all the trustees</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Identification for each <b>trustee</b> as per the requirements for Individuals or Companies above</li> <li>• Identification for each <b>beneficial owner</b> as per the requirements for Individuals or Companies above</li> <li>• Identification for the <b>Settlor</b> as per the requirements for Individuals or Companies above</li> </ul>

CERTIFICATION	
AUSTRALIAN: PERSONS AUTHORISED TO CERTIFY	
<ul style="list-style-type: none"> <li>• The client's Private Client Advisor – where the Private Client Advisor has two or more continuous years of service with one or more financial institutions as set out in the Statutory Declarations Regulations</li> <li>• Chiropractor</li> <li>• Dentist</li> <li>• Legal practitioner</li> <li>• Medical practitioner</li> <li>• Nurse</li> <li>• Optometrist</li> <li>• Pharmacist</li> <li>• Physiotherapist</li> <li>• Psychologist</li> <li>• Veterinary surgeon</li> <li>• Bank officer with five or more continuous years of service</li> <li>• Justice of the Peace</li> <li>• Magistrate</li> <li>• Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants</li> <li>• Notary Public</li> <li>• Permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public</li> <li>• Permanent employee of:               <ul style="list-style-type: none"> <li>○ The Commonwealth or a Commonwealth authority</li> <li>○ A State or Territory or a State or Territory authority</li> <li>○ A local government authority with two or more continuous service who is not specified in another item in this section</li> </ul> </li> <li>• Police Officer</li> <li>• Teacher employed on a full time basis at a school or tertiary education institution</li> <li>• Member of the Australasian Institute of Mining and Metallurgy</li> </ul>	

**OVERSEAS: PERSONS AUTHORISED TO CERTIFY**

- The client's Private Client Adviser – where the Private Client Adviser has two (2) or more continuous years of service with one or more financial institutions as set out in the Statutory Declarations Regulations
- Australian Consular Officer or Australian Diplomatic Officer
- Bank officer or employee of a branch of an Australian licensed financial services provider (e.g. bank, finance company, stockbroker) with 2 or more continuous years of service who is authorised by their institution to open accounts, where the international financial services provider is acceptable to Everblu Capital
- Employee of the Australian Trade Commission who is:
  - In a country or place outside Australia; and
  - Authorised under paragraph 3(d) of the Consular Fees Act 1995; and
  - exercising their function in that place
- Employee of the Commonwealth who is:
  - In a country or place outside Australia; and
  - Authorised under paragraph 3(d) of the Consular Fees Act 1995; and
  - exercising their function in that place
- Judge of a court\*
- Magistrate\*
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants, the National Institute of Accountants, The American Institute of Certified Public Accountants, Association of Certified Chartered Accountants (United Kingdom), Canadian Institute of Chartered Accountants, Institute of Chartered Accountants of New Zealand, The Institute of Chartered Accountants in England and Wales, The Institute of Chartered Accountants in Ireland, or The Institute of Chartered Accountants of Scotland
- Notary Public\*
- Police Officer
- Lawyer or barrister\*

*\*Licensed or registered in a Financial Action Task Force member country (please refer to the FATF web site for a list of member countries)*